

Slicing an Integrated Formal Method for Verification^{*}

Ingo Brückner¹ and Heike Wehrheim²

¹Universität Oldenburg, Department Informatik, 26111 Oldenburg, Germany
ingo.brueckner@informatik.uni-oldenburg.de

²Universität Paderborn, Institut für Informatik 33098 Paderborn, Germany
wehrheim@uni-paderborn.de

Abstract. Model checking specifications with complex data and behaviour descriptions often fails due to the large state space to be processed. In this paper we propose a technique for *reducing* such specifications (with respect to certain properties under interest) before verification. The method is an adaption of the *slicing technique* from program analysis to the area of integrated formal notations and temporal logic properties. It solely operates on the syntactic structure of the specification which is usually significantly smaller than its state space. We show how to build a reduced specification via the construction of a so called program dependence graph, and prove correctness of the technique with respect to a projection relationship between full and reduced specification. The reduction thus preserves all properties formulated in temporal logics which are invariant under stuttering, as for instance LTL_{-X} .

1 Introduction

Modelling complex systems usually involves the description of different views. In the UML this is facilitated by providing designers with a large number of different diagram types for modelling various aspects of systems. In the area of formal modelling notations *integrated formal methods* allow for a convenient specification of different views. Integrated formalisms combine different existing notations while still giving a semantics to the combination and thus preserving the formal rigour in a design. Models of complex systems in integrated specification formalisms usually contain views describing state-based aspects plus views describing the dynamic behaviour. A number of such integrations have been proposed in recent years [5, 21, 18, 12, 16, 6, 11]. They often combine state-based notations like Z or B with process algebras like CCS or CSP.

In this paper, we will be concerned with *verifying* specifications written in an integrated notation. Applications of model checking techniques often fail for such specifications due to the large amount of data (coming from the state-based side)

^{*} This work was partly supported by the German Research Council (DFG) as part of the Transregional Collaborative Research Center “Automatic Verification and Analysis of Complex Systems” (SFB/TR 14 AVACS, www.avacs.org).

combined with the large number of interleavings of parallel components (coming from the process algebra side). Consequently, the development of techniques for avoiding the state explosion problem is even more compelling for integrated formalisms. Here, we propose a method for reducing the specification (and as a consequence its state space) by removing all those parts which are irrelevant for the validity of a particular property under interest. The technique for determining relevant (or irrelevant) parts is an adaptation of the *slicing* technique from program analysis to formal specifications. Slicing has originally been introduced by Weiser [20] (for an overview see [17]) to reduce programs for debugging. It basically involves the construction of a *program dependence graph* which precisely reflects the dependencies in a program. On this graph it is possible to determine the parts of a program which might affect the value of a variable at a certain program point. The irrelevant parts can then be sliced away. A similar principle is applied in hardware verification under the name *cone of influence reduction* [4]. In software verification slicing has for instance been applied to Java [7], PROMELA [13], and SAL [19]. Being a static analysis technique slicing just operates on the *syntactic* level of the program, and a reduction of this can substantially facilitate the following model checking.

This work builds on previous ideas for slicing Object-Z specifications [2]. Here, we present a slicing technique for an *integrated* specification language. The formalism, called CSP-OZ [5], is a combination of the process algebra CSP [8] with the state-based formalism Object-Z [15]. For this notation we show how to construct graphs reflecting the mutual dependencies in a specification, in particular between the Object-Z and the CSP part. The slicing criteria are temporal logic formulae over atomic propositions (speaking about the state of the Object-Z part) *and* events (speaking about occurrence of operations of the CSP part). Instead of looking at one particular logic, we take a more general approach. We show that our reduction preserves properties formulated in any (linear-time) logic which is invariant under *stuttering*, i.e. which cannot distinguish between runs of a system which are equivalent up to some stuttering steps (defined by a set of irrelevant atomic propositions and events). This is obtained by proving that the runs of the reduced specification are *projections* of the runs of the full specification, projection being a particular form of stuttering. A logic fulfilling the requirements is for instance LTL_X (linear time temporal logic without Next operator) or the state/event based interval logic proposed in [2].

The paper is structured as follows. The next section introduces CSP-OZ by means of a small example and moreover defines a Kripke structure semantics for CSP-OZ. In section 3 we present the dependence graph construction and the slicing algorithm. The slicing algorithm will be proven correct with respect to a projection relationship in section 4. The last section concludes.

2 CSP-OZ Specifications: An Example

For illustrating our approach we use a CSP-OZ specification of an air condition system. Initially the air condition is off. When it is switched on (*workswitch*),

it starts to run. While running, the air condition either heats or cools the room and simultaneously allows the user to switch the mode (*modeswitch*), refill fuel (*refill*) or switch it off again. Cooling or heating is modelled by a consumption of one unit of fuel (*consume*) and an emission of hot or cold air (*dtemp*). For the specification we first define the mode of operating and a type for the fuel.

$$\text{Mode} ::= \text{heat} \mid \text{cool} \quad \text{Fuel} ::= 0..100$$

<i>AC</i>		
$\text{chan } \text{workswitch}, \text{consume}, \text{off}$ $\text{chan } \text{refill} : [f? : \text{Fuel}]$ $\text{chan } \text{level} : [f! : \text{Fuel}]$ $\text{main} = \text{workswitch} \rightarrow \text{On}$ $\text{On} = (\text{Operate} \parallel \parallel \text{Work}) \text{ ; } \text{main}$ $\text{Work} = \text{consume} \rightarrow \text{dtemp} \rightarrow \text{level} \rightarrow \text{Work}$ $\square \text{off} \rightarrow \text{SKIP}$	$\text{chan } \text{modeswitch} : [m? : \text{Mode}]$ $\text{chan } \text{dtemp} : [t! : \text{Mode}]$ $\text{Operate} = \text{modeswitch} \rightarrow \text{Operate}$ $\square \text{refill} \rightarrow \text{Operate}$ $\square \text{workswitch} \rightarrow \text{SKIP}$	
$\text{work} : \mathbb{B}$ $\text{mode} : \text{Mode}; \text{fuel} : \text{Fuel}$	Init $\neg \text{work}$ $\text{mode} = \text{heat}$	
effect_workswitch $\frac{\Delta(\text{work})}{\text{work}' = \neg \text{work}}$	enable_consume $\frac{}{\text{work} \wedge \text{fuel} > 5}$	effect_consume $\frac{\Delta(\text{fuel})}{\text{fuel}' = \text{fuel} - 1}$
effect_modeswitch $\frac{\Delta(\text{mode}); m? : \text{Mode}}{\text{mode}' = m?}$	effect_dtemp $\frac{t! : \text{Mode}}{t! = \text{mode}}$	effect_level $\frac{f! : \text{Fuel}}{f! = \text{fuel}}$
enable_off $\frac{}{\neg \text{work}}$	enable_refill $\frac{}{\text{fuel} < 100}$	effect_refill $\frac{\Delta(\text{fuel}); f? : \text{Fuel}}{\text{fuel}' = \min(\text{fuel} + f?, 100)}$

The first part of the class defines its interface towards the environment. The next part specifies its dynamic behaviour, i.e. the allowed ordering of method execution. It is defined via a set of CSP process equations. The operators appearing here are prefixing \rightarrow (sequencing), sequential composition ; , interleaving $\parallel \parallel$ (parallel composition with no synchronisation) and external choice \square . The third part of a CSP-OZ class describes the attributes of the class and the methods. For every method we might have an *enabling* schema fixing a guard for the method execution (enabling schemas equivalent to *true* are left out) and an effect schema describing the effect of a method upon execution. For instance, for method *consume* the enabling schema tells us that the air condition has to be on and a minimal amount of fuel is necessary for *consume* to take place, and

that upon execution one unit of fuel is consumed. The method *level* on the other hand is always enabled, it just displays the current level of fuel.

The semantics of such specifications is defined in terms of labelled Kripke structures. In contrast to ordinary Kripke structures, transitions are labelled with events. This allows us to also use temporal logics for property specification which talk about execution of events.

Definition 1. *Let AP be a non-empty set of atomic propositions, E an alphabet of events (consisting of method names plus values of parameters).*

An (event-)labelled Kripke structure $K = (S, S_0, \rightarrow, L)$ over AP and E consists of a finite set of states S , a set of initial states $S_0 \subseteq S$, a transition relation $\rightarrow \subseteq S \times E \times S$ and a labelling function $L : S \rightarrow 2^{AP}$.

For our example atomic propositions might for instance be *mode = cool* or *fuel > 5*. The Kripke structure for a CSP-OZ class is derived in two steps: first, we separately compute the semantics of the CSP and the Object-Z part. In a second step, we combine the Kripke structure of the components by parallel composition. In the following we assume a global set of atomic propositions AP and events E which are built over method names $m \in M$, i.e. an event e has the form $m.i.o$ where m is the name of a method and i and o are (potential) values for input and output parameters. The transition relation for the CSP part is computed via the operational semantics of CSP [14].

Definition 2. *The Kripke structure semantics of the CSP part `main` of a CSP-OZ class is the labelled Kripke structure $K^{CSP} = (\mathcal{L}^{CSP}, \{\text{main}\}, \rightarrow^{CSP}, L^{CSP})$ with \mathcal{L}^{CSP} being the set of all CSP terms, \rightarrow^{CSP} the transition relation derived via the operational semantics of CSP and $L^{CSP}(P) = AP$ for all $P \in \mathcal{L}^{CSP}$.*

In the states of the Kripke structure for the CSP part all atomic propositions hold since the CSP part makes no restrictions on values of attributes of the class.

Definition 3. *The Kripke structure semantics of the Object-Z part $C = (\text{State}, \text{Init}, (\text{enable_}m)_{m \in M}, (\text{effect_}m)_{m \in M})$ of a CSP-OZ class is the labelled Kripke structure $K^{OZ} = (\text{State}, \text{Init}, \rightarrow^{OZ}, L^{OZ})$ with the transition relation $\rightarrow^{OZ} = \{(s, m.i.o, s') \mid \text{enable_}m(s, i) \wedge \text{effect_}m(s, i, o, s')\}$, and the labelling function $L^{OZ}(s) = \{p \in AP \mid s \models p\}$.*

The states of the Kripke structure are simply the set of bindings of the state schema. These two Kripke structures are then combined via parallel composition. In the following we assume the alphabet of the CSP part and the set of methods in the Object-Z part to be equal, thus synchronisation takes places on all methods. Only one event remains which is executed by the CSP part alone, the invisible event τ which might arise out of internal choices in CSP processes.

Definition 4. *The parallel composition of two labelled Kripke structures¹ $K_i = (S_i, S_{0,i}, \rightarrow_i, L_i)$, $i \in \{1, 2\}$ over the same sets of atomic propositions AP and events E , $K_1 \parallel K_2$, is the Kripke structure $K = (S, S_0, \rightarrow, L)$ with*

¹ Note that our definition is symmetric, while for the parallel composition of CSP and Object-Z Kripke structures we assume only the CSP side to have τ transitions.

$$\begin{aligned}
& - S = S_1 \times S_2, S_0 = S_{0,1} \times S_{0,2}, \\
& - \rightarrow = \left\{ ((s_1, s_2), e, (s'_1, s'_2)) \left| \begin{array}{l} (s_1 \xrightarrow{e_1} s'_1 \wedge s_2 \xrightarrow{e_2} s'_2) \\ \vee (s_1 \xrightarrow{\tau_1} s'_1 \wedge s'_2 = s_2) \vee (s_2 \xrightarrow{\tau_2} s'_2 \wedge s'_1 = s_1) \end{array} \right. \right\} \\
& - L(s) = L(s_1) \cap L(s_2), \text{ where } s = (s_1, s_2).
\end{aligned}$$

For describing properties of CSP-OZ classes we can now use any temporal logic which can be interpreted on labelled Kripke structures. For the purpose of this paper we assume the logic to be a *linear-time* logic, i.e. which is interpreted on the *paths* without considering the branching structure. We furthermore only consider paths which are fair [4] with respect to a set of events.

Definition 5. Let $K = (S, S_0, \rightarrow, L)$ be a Kripke structure. An infinite sequence of events and states $s_0 e_1 s_2 e_3 s_4 \dots$ is a path of the Kripke structure iff $s_0 \in S_0$ and $(s_i, e_{i+1}, s_{i+2}) \in \rightarrow$ holds for all $i \geq 0, i$ even.

A path is fair with respect to a set of events $E' \subseteq E$ (or E' -fair) iff $\text{inf}(\pi) \cap E' \neq \emptyset$ where $\text{inf}(\pi) = \{e \in E \mid \exists \text{ infinitely many } i \in \mathbb{N} : e_i = e\}$.

Here, we will not introduce one particular logic, but instead only assume that our logic is invariant under projection, i.e. that it cannot distinguish paths where one is a projection of the other onto some set of atomic propositions and events of interest. A precise definition of projection is given in section 4. A temporal logic fulfilling this requirement is for instance the next-less part of LTL or the state-event interval logic presented in [2]. For our example we use the former logic. One property of interest for our air condition specification could for instance be whether the amount of fuel is always greater than 5 when the air condition is on (which in fact is not true): $\varphi := \Box(\text{work} \Rightarrow \text{fuel} > 5)$.

The main purpose of the technique proposed in this paper is to determine now which part of the specification actually has to be considered when checking for the property, i.e. whether it is possible to check the property on a reduced specification S^{red} such that the following holds (where $S \models \varphi$ stands for "the formula φ holds on the Kripke structure of the specification S "):

$$S \models \varphi \text{ iff } S^{\text{red}} \models \varphi$$

As we will see it is possible to omit both some of the attributes and some of the methods of the air condition for checking our property.

3 Slicing

Slicing means reducing a program or specification such that the reduced program/specification only contains those parts of the full specification which can influence a certain property under interest called the slicing criterion.

In order to determine these influences, slicing needs precise information about dependencies between different parts of a program/specification. Such dependencies are represented in a *program (or system) dependence graph*². This section explains the construction of program dependence graphs for CSP-OZ classes and their slicing with respect to some temporal logic formula φ .

² We stick to the word program, although we treat specifications.

Control flow graph. In preparation for the construction of the program dependence graph we first construct the specification’s control flow graph (CFG) which represents the execution order of the specification’s schemas according to the specification’s CSP processes. Starting with the *start.main* node, its nodes ($n \in N$) and edges ($\longrightarrow \subseteq N \times N$) are derived from the syntactical elements of the specification’s CSP part, based on an inductive definition for each CSP operator. Nodes either correspond to schemas of the Object-Z part (like *enable_m*) or to operators in the CSP part (like nodes *interleave* and *uninterleave* for operator \parallel or nodes *extchoice* and *unextchoice* for operator \square). We refrain from giving a precise definition here. The result of this inductive definition for the first two process definitions in our *AC* example specification can be seen in fig. 1.

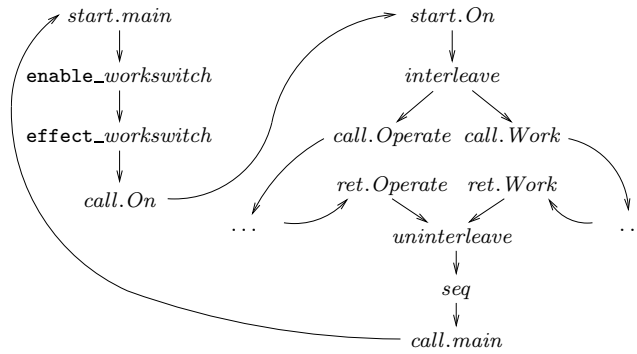


Fig. 1. Part of the control flow graph for the *AC* specification

Note, that we assume each syntactical CSP element and each associated CFG node to have a unique name. This can, for example, be achieved by extending their names by an index that represents the position of their textual occurrence inside the specification. For sake of clarity we omit these indices here.

Program dependence graph. The program dependence graph (PDG) represents data and control dependencies between nodes of the CFG. Thus both graphs have the same set of nodes ($n \in N$), but not the same set of edges. An edge connects two nodes in the PDG if control or data dependencies exist between these nodes according to the definitions given below. Before we continue with the construction of the PDG we first introduce some abbreviations. When reasoning about paths inside the CFG, we let $path_{CFG}(n, n')$ denote the set of sequences of CFG nodes that are visited when walking along CFG edges from node n to node n' . When we refer to the sets of variables appearing inside schemas associated to PDG nodes, we let $mod(n)$ denote the set of variables appearing in primed form (those modified by the method of the node), $ref(n)$ denote the set of variables appearing in unprimed form (those referenced by the method of the node), and $vars(n) = mod(n) \cup ref(n)$ denote the set of all variables inside the schema.

The further construction of the PDG starts with the introduction of *control dependence edges* ($\rightsquigarrow \subseteq N \times N$). The idea behind these edges is to represent the fact that an edge's source node controls whether the target node will be executed. In particular, a node cannot be control dependent on itself. We distinguish the following types of control dependence edges:

- Control dependence due to *nontrivial precondition* exists between an **enable** node and its **effect** node iff the **enable** schema is non-empty (i.e. not equivalent to true).
- Control dependence due to *external (resp. internal) choice* exists between an *extch* (resp. *intch*) node and its immediate CFG successors.

Additionally, some further control dependence edges are introduced in order to achieve a well-formed graph:

- *Call* and *termination* edges exist between a *call* (resp. *term*) node and its associated *start* (resp. *ret*) node.
- *Start* and *return* edges exist between a *start* (resp. *ret*) node and its immediate CFG successor.

Finally, all previously defined (direct) control dependence edges are extended to CFG successor nodes as long as they do not bypass existing control dependence edges. The idea of this definition is to integrate indirectly dependent nodes (that would otherwise be isolated) into the PDG.

- *Indirect control dependence* edges exist between two nodes n and n' iff $\exists \pi \in \text{path}_{CFG}(n, n') : \forall m, m' \in \text{ran } \pi : m \rightsquigarrow m' \Rightarrow m = n$

The idea of *data dependence edges* ($\rightsquigarrow \subseteq N \times N$) is to represent the influence that one node might have on a different node by modifying some variable that the second node references. Therefore, the source node always represents an **effect** schema, while the target node may also represent an **enable** schema. We distinguish the following types of data dependence edges:

- *Direct data dependence* exists between two nodes n and n' iff there is a CFG path between both nodes without any further modification of the relevant variable: $\exists v \in (\text{mod}(n) \cap \text{ref}(n')), \exists \pi \in \text{path}_{CFG}(n, n') :$

$$\forall m \in \text{ran } \pi : v \in \text{mod}(m) \Rightarrow (m = n \vee m = n')$$

- *Interference data dependence* exists between two nodes n and n' iff both nodes are located in different CFG branches attached to the same interleaving operator: $\text{mod}(n) \cap \text{ref}(n') \neq \emptyset \wedge \exists m = \text{interleave} :$

$$\exists \pi \in \text{path}_{CFG}(m, n) \wedge \exists \pi' \in \text{path}_{CFG}(m, n') : \text{ran } \pi \cap \text{ran } \pi' = \{m\}$$

The resulting program dependence graph for the *AC* specification is depicted in fig. 2. Note, that two aspects of the PDG have been slightly modified in order to achieve a more concise graphical representation without changing the outcome of the slicing algorithm for the given example.

1. The separate nodes for **enable** and **effect** schemas have been combined into one single node for each event.
2. Instead of explicitly drawing all control dependence edges originating from one node to different target nodes, this set of edges is represented by a single edge between the first node and a box around the set of target nodes.

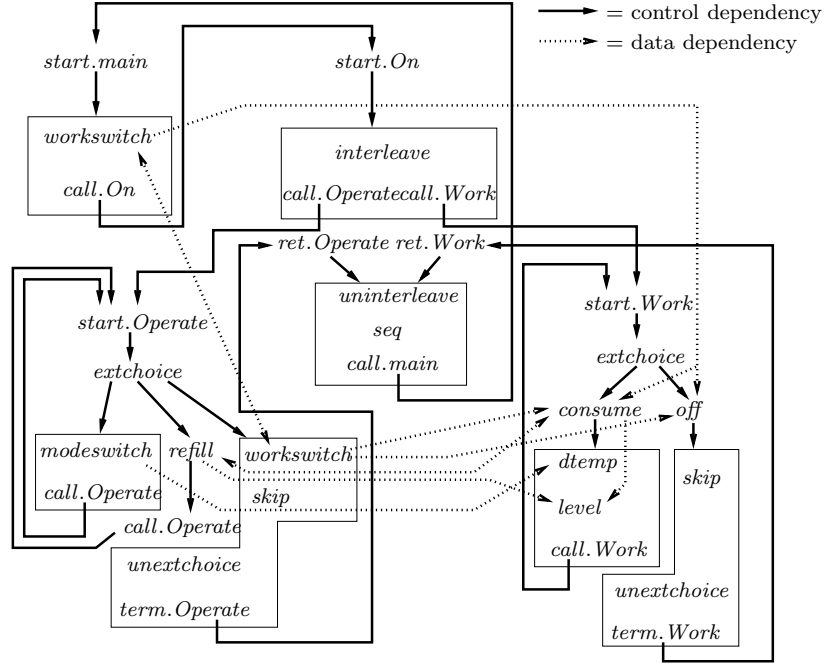


Fig. 2. Program dependence graph for the *AC* specification

Backward slice. For our purpose, slicing is used to determine that part of the specification that is directly or indirectly relevant for the property to be verified. Computation of this slice starts from the set of events E_φ and the set of variables V_φ that appear directly in the given formula φ . Based on this slicing criterion (E_φ, V_φ) we can determine the set of PDG nodes with direct influence on the property under interest:

$$N_\varphi = \{n \mid \text{mod}(n) \cap V_\varphi \neq \emptyset\} \cup \{n \mid \exists e \in E_\varphi : n = \text{enable}_e\}$$

From this initial set of nodes we compute the backward slice by a reachability analysis of the PDG. The resulting set contains all nodes that lead via an arbitrary number of control or data dependence edges to one of the nodes that

already are in N_φ . Additional to all nodes from N_φ , the backward slice contains therefore also all PDG nodes with indirect influence on the given property, i.e. it is the set of all relevant nodes for the specification slice:

$$N' = \{n' \in N \mid \exists n \in N_\varphi : n' (\xrightarrow{\cup} \cup \rightsquigarrow)^* n\}$$

Thus relevant events are those associated to nodes from N'

$$E' = \{e \mid \exists n \in N' : n = \mathbf{enable_}e_i \vee n = \mathbf{effect_}e_i\}$$

and relevant variables are those associated to nodes from N' : $V' = \bigcup_{n \in N'} \mathit{vars}(n)$.

Reduced specification. Having determined the sets E' and V' which might influence the property (formula) under interest the slice of a specification can next be determined. In contrast to the original specification it contains

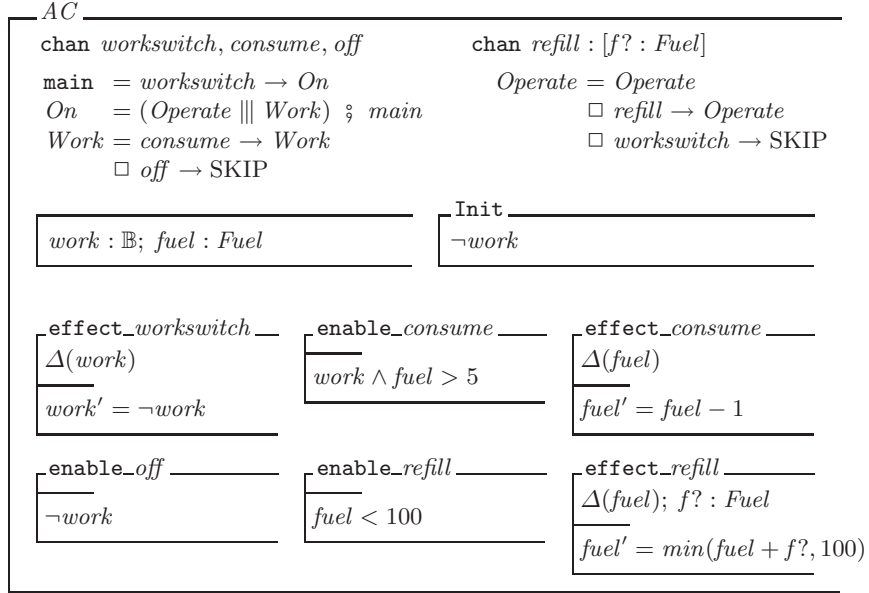
- only channels from E' ,
- only CSP process definitions that are projections (as defined in sect. 4, def. 8) of CSP process definitions from the original specification onto E' ,
- inside the state schema only variables from V' ,
- inside the Init schema only predicates restricting variables from V' , and
- only Object-Z schemas associated with events from E' .

When slicing the class AC with respect to the formula $\varphi := \Box(\mathit{work} \Rightarrow \mathit{fuel} > 5)$, i.e. $N_\varphi = \{\mid \mathit{workswitch}, \mathit{consume}, \mathit{refill} \mid\}$ ³, the result is:

$$N' = N \setminus \{\mathbf{effect_}modeswitch, \mathbf{effect_}dtemp, \mathbf{effect_}level\}$$

$$E' = E \setminus \{\mid \mathit{modeswitch}, \mathit{dtemp}, \mathit{level} \mid\}, \quad V' = V \setminus \{\mathit{mode}\}$$

This leads to the following specification slice:



³ Let $\{\mid M \mid\}$ denote the set of events over the set of methods M .

The reductions achieved by applying our slicing algorithm to this example are:

1. Event *modeswitch* has been removed together with variable *mode*, which is sensible, since the air condition's mode (heating or cooling) does not have any influence on the slicing criterion (property $\Box(\textit{work} \Rightarrow \textit{fuel} > 5)$).
2. Events *dtemp* and *level* have been removed, which is also sensible, since neither modelling the effect on the environment (*dtemp*) nor communicating the current amount of fuel (*level*) influences the given property.

To summarise, the specification's state space has not only been reduced with respect to its control flow space (events *dtemp*, *modeswitch* and *level*) but also with respect to its data state space (variable *mode*).

Note, that neither original nor sliced *AC* specification satisfies the given property, so the verification result will be negative in both cases. Nevertheless, this is exactly what we wanted to achieve: A specification slice must satisfy a slicing criterion if and only if the original specification does so.

In the next section we will show that our slicing algorithm guarantees this outcome for any specification and any slicing criterion (formulated in a linear-time stuttering invariant logic).

4 Correctness

In this section we show correctness of the slicing algorithm, i.e. we show that the Kripke structure of the reduced specification is a projection of that of the full specification. As a consequence, the property (and slicing criterion) φ (if formulated in a projection-invariant logic) then holds on the full specification if and only if it holds on the reduced specification.

We start with the definition of the notion of projection that is used in the actual correctness proof. The projection relation is first defined on paths and then lifted to Kripke structures. Intuitively, when computing the projection of a given path onto a set of atomic propositions and a set of events, one divides the path into blocks such that all states inside a block are projection-equivalent (i.e. they coincide on the given set of atomic propositions) and all events inside a block are irrelevant events (i.e. events not from the given set of events) except for the last event which is a relevant event (i.e. an event from the given set of events). The projection of the original path contains then any path such that for each of the blocks of the original path all states and irrelevant events are mapped onto one single state of the new path, while the relevant event remains in the new path as illustrated in the following sketch of a projection of a path:

$$\begin{array}{l}
 \pi = \\
 Pr(\pi) \ni
 \end{array}
 \left| \begin{array}{c} \text{Block 0} \\ s_0 \ e_0 \ s_1 \ e_1 \\ r_0 \ e_1 \end{array} \right|
 \left| \begin{array}{c} \text{Block 1} \\ s_2 \ e_2 \\ r_1 \ e_2 \end{array} \right|
 \left| \begin{array}{c} \text{Block 2} \\ s_3 \ e_3 \ s_4 \ e_4 \\ r_2 \ e_4 \end{array} \right|
 \left| \begin{array}{c} \text{Block 3} \\ \dots \\ \dots \end{array} \right|$$

Definition 6. Let $\pi = s_0 e_0 s_1 e_1 s_2 e_2 s_3 \dots$ be an E' -fair path over a set of atomic propositions AP and a set of events $E \supseteq E'$. The projection of π onto a set of atomic propositions AP' and a set of events E' ($Pr_{AP',E'}(\pi)$) contains any E' -fair path $\rho = r_0 f_0 r_1 f_1 r_2 f_2 r_3 \dots$ such that there is a sequence of indices $0 = i_0 < i_1 < i_2 < \dots$ (that divides π into blocks) and the following holds:

- $\forall k \geq 0: L(s_{i_k}) \cap AP' = L(s_{i_{k+1}}) \cap AP' = \dots = L(s_{i_{k+1}-1}) \cap AP' = L(r_k) \cap AP'$ (relevant atomic propositions do not change within a block and are the same in the correspondent state of ρ),
- $\forall l \in \mathbb{N}, \forall k: i_l \leq k < i_{l+1} - 1: e_k \notin E'$ (no relevant events inside a block),
- $\forall l \geq 1: e_{i_{l-1}} = f_{l-1} \in E'$ (transitions between blocks are labelled with the same relevant event as the correspondent transition of ρ).

For comparing the Kripke structures we restrict the definition to fair paths since we are only considering satisfaction of formulae on fair paths.

Definition 7. Let $K_i = (S_i, S_{0,i}, \rightarrow_i, L_i)$, $i \in \{1, 2\}$, be labelled Kripke structures over a set of atomic propositions AP and a set of events E , $AP' \subseteq AP$ a subset of the atomic propositions and $E' \subseteq E$ a subset of the events. K_2 is in the projection of K_1 onto AP' and E' ($K_2 \in Pr_{AP',E'}(K_1)$) iff the following holds:

1. For each E' -fair path π in K_1 there exists an E' -fair path π' in K_2 such that $\pi' \in Pr_{AP',E'}(\pi)$,
2. and vice versa, for each E' -fair path π' in K_2 there exists an E' -fair path π in K_1 such that $\pi' \in Pr_{AP',E'}(\pi)$.

Given a temporal logic which is interpreted on paths (i.e. a linear time logic) and which is invariant under projections, such a projection relationship between two Kripke structures then guarantees that formulae which only mention propositions from AP' and events from E' hold for either both or none of the Kripke structures. Note that projection is a particular form of stuttering.

In the following we will show how such a projection relationship can be proven between full and sliced specification. For this we now first have to give a precise definition of the residual CSP processes which remain after slicing with respect to some set of events E' .

Definition 8. Let P be the right side of a process definition from the CSP part of a specification and E be the set of events that appear in the specification. The projection of P w.r.t. a set of events $E' \subseteq E$ is inductively defined:

1. $skip|_{E'} := skip$ and $stop|_{E'} := stop$
2. $(e \rightarrow P)|_{E'} := \begin{cases} P|_{E'} & \text{if } e \notin E' \\ e \rightarrow P|_{E'} & \text{else} \end{cases}$
3. $(P \circ Q)|_{E'} := P|_{E'} \circ Q|_{E'}$ with $\circ \in \{;, ||, \square, \square\}$

The projection of the complete CSP part w.r.t. a set of events $E' \subseteq E$ is defined by applying the above definition to each process definition.

Next, we start the actual correctness proof with several lemmas showing the relationships between CSP processes and events and variables which remain in

the specification. Due to space restrictions we only present the main ideas of the proofs. The complete proofs can be found in [1].

Our first lemma states that the projection of each residual CSP process associated to a state inside a projection block as defined in definition 6 can mimic the behaviour of the residual CSP process associated to the last state of the projection block, i.e. the relevant event at the end of the block is enabled at any previous step inside the block when computing the CSP projection.

Lemma 1 (Transitions of CSP process projections). *Let P_j, \dots, P_{j+k+1} be CSP processes, E' a set of relevant events, $e_{j+1}, \dots, e_{j+k-2}$ irrelevant events ($\notin E'$), and e_{j+k} a relevant event ($\in E'$), such that*

$$P_j \xrightarrow{e_{j+1}} P_{j+2} \xrightarrow{e_{j+3}} \dots \xrightarrow{e_{j+k-2}} P_{j+k-1} \xrightarrow{e_{j+k}} P_{j+k+1}$$

is a valid transition sequence. Then the following holds⁴:

$$P \xrightarrow{e_{j+k}} P_{j+k+1}|_{E'} \text{ with } P \in \{P_j|_{E'}, \dots, P_{j+k-1}|_{E'}\}$$

Proof: The proof builds up on another lemma considering the case of a single CSP transition: Either this transition is labelled with a relevant event $e \in E'$ or with an irrelevant event $e \notin E'$. In the former case it is easy to see that the associated projection also can perform this event e , while in the latter case some further considerations lead to the conclusion that the associated projection will finally perform the same relevant event as the original process. Both cases are shown by induction over the structure of the respective CSP processes. For the proof of the present lemma we then only need to combine these two cases in an induction over the length of the projection block and come to the desired result.

Next, we bridge the gap between transition sequences that we can observe for CSP processes and paths that are present in the associated control flow graph.

Lemma 2 (CSP transition sequences and control flow graph paths).

Let C be a class specification, CFG the control flow graph of C , K^{CSP} the Kripke structure associated to the CSP part of C , and $P \xrightarrow{e} Q \xrightarrow{f} R$ a transition sequence of K^{CSP} . Then the two nodes $\text{enable_}e$ and $\text{enable_}f$ of CFG are related in either one of the following ways:

1. *There exists a path in CFG which leads from $\text{enable_}e$ to $\text{enable_}f$.*
2. *There exists a node interleave^i in CFG which has $\text{enable_}e$ and $\text{enable_}f$ as successors in different branches.*

Proof: The proof consists of two layers of induction over the structure of P and Q such that each possible combination of CSP constructs is considered and shown to fall into one of the two cases mentioned in the lemma.

Our last lemma states that the set of irrelevant events appearing inside a projection block does not have any influence on the relevant variables (resp. atomic propositions) associated to the states inside the block.

⁴ Note, that $P_j|_{E'} = \dots = P_{j+k-1}|_{E'}$ does not necessarily hold.

Lemma 3 (No influence of irrelevant events on relevant variables). *Let C be a class specification with an associated Kripke structure K , let*

$$(s_j, P_j) \xrightarrow{e_{j+1}} (s_{j+2}, P_{j+2}) \xrightarrow{e_{j+3}} \dots \xrightarrow{e_{j+k-2}} (s_{j+k-1}, P_{j+k-1}) \xrightarrow{e_{j+k}} (s_{j+k+1}, P_{j+k+1})$$

be a transition sequence that is part of a path of K . Let furthermore E' be the set of relevant events computed by the slicing algorithm with respect to some formula φ (with an associated set of variables V_φ), and $e_{j+1}, \dots, e_{j+k-2} \notin E'$, and $e_{j+k} \in E'$. Then the following holds:

$$s_j|_{\overline{V}} = \dots = s_{j+k-1}|_{\overline{V}} \quad \text{with } \overline{V} = V_\varphi \cup \bigcup_{e \in \{e_i \in E' \mid i \geq j\}} \text{ref}(e)$$

Proof: We show this by contradiction: Supposed, the equality does not hold, we show that this implies the existence of a data dependence between an event inside the block and the relevant event. In consequence, this leads to the event inside the block being a member of the set of relevant events.

Now we come to our main theorem that states the existence of a projection relationship between the Kripke structures associated to the original and to the sliced specification.

Theorem 1. *Let C^{full} be a class specification and C^{red} the class obtained when slicing C^{full} wrt. a formula φ , associated with sets of events E_φ , variables V_φ and atomic propositions AP_φ over V_φ . Let E' and AP' be the set of events and atomic propositions, respectively, which the slicing algorithm delivers as those of interest (in particular $E_\varphi \subseteq E'$ and $V_\varphi \subseteq V'$). Let furthermore K^{full} (resp. K^{red}) be the corresponding Kripke structures. Then the following holds:*

$$K^{red} \in Pr_{AP_\varphi, E'}(K^{full})$$

Proof: According to the definition of the projection relation we need to consider two cases: (1) We have to show that for any E' -fair path of K^{full} we can construct an E' -fair path of K^{red} and (2) vice versa. For both directions we define a set of variables \overline{V}_i that contains all variables associated to the slicing criterion and for each position i of the respective path all variables that are referenced by relevant events $e_i \in E'$ at position i or beyond:

$$\overline{V}_i = V_\varphi \cup \bigcup_{e \in \{e_j \in E' \mid j \geq i\}} \text{ref}(e)$$

1. Let $\pi = s_0 e_1 s_2 e_3 \dots$ be an E' -fair path of K^{full} with $s_i = (s_i^{OZ}, P_i)$. We construct a sequence $\rho' = t_0 f_1 t_2 f_3 \dots$ with $t_i = (t_i^{OZ}, Q_i)$ and

$$t_i^{OZ}: s_i^{OZ}|_{\overline{V}_i} \quad Q_i: P_i|_{E'} \quad f_i: \begin{cases} e_i & \text{if } e_i \in E' \\ \text{nop} & \text{else} \end{cases}$$

Out of ρ' we construct a sequence ρ by eliminating all subsequences of the form $\text{nop } t_i$. We have to show that ρ is an E' -fair path of K^{red} . To this

end we use induction over the length of ρ where we apply lemma 3 and lemma 1 when showing that we can remove some intermediate sequences from the original path such that all schemas and all process definitions from the reduced specifications are satisfied.

2. Let $\rho = t_0 f_1 t_2 f_3 \dots$ be an E' -fair path of K^{red} with $t_i = (t_i^{OZ}, Q_i)$. We inductively construct a path of K^{full}

$$\pi = s_0 e_0^1 s_0^2 e_0^3 \dots s_0^{n_0} e_1 s_2 e_2^1 s_2^2 e_2^3 \dots s_2^{n_2} e_3 s_4 e_4^1 s_4^2 \dots$$

with s_i of the form (s_i^{OZ}, P_i) and s_i^j of the form $(s_i^{OZ,j}, P_i^j)$ such that $s_i^{OZ,j} |_{\overline{V}_i} = s_i^{OZ} |_{\overline{V}_i} = t_i^{OZ} |_{\overline{V}_i}$ and the P_i^j are intermediate processes towards P_i^{j,n_i} which projected onto E' gives Q_i , and $e_i = f_i \in E'$ and $e_i^j \notin E'$.

In the induction we apply lemma 3 to show that we can safely insert the necessary additional steps in the Object-Z part of ρ such that the associated schemas of the full specification are satisfied. Furthermore, we apply lemma 2 to show that these additional steps are possible according to the process definitions from the full specification such that π is indeed a path of K^{full} .

5 Conclusion

In this paper we have proposed a slicing algorithm for an integrated formal method covering state-based as well as behaviour-oriented aspects. We have shown correctness of the algorithm with respect to a projection relationship between the paths of the full and the reduced specification (starting from some set of relevant variables and events). Thus the reduction preserves formulae (speaking about these relevant variables and events) of any linear-time temporal logic which is invariant under projection. Slicing can thus help to reduce the specification before verification. Since the program dependence graph is in size linear in the syntactic representation of the specification (and thus usually much smaller than the state space), slicing can also be carried out in cases when model checking is too complex. Furthermore, the program dependence graph only has to be constructed once for every specification, only backward reachability has to be computed for every formula. Our slicing technique acts as a preparatory step in the verification of CSP-OZ specifications; the following model checking step is carried out by a constraint-based abstraction refinement model checker as recently proposed by Hoenicke and Maier [9].

In the future we plan to extend this technique to a third modelling dimension, namely timing requirements as covered by the formalism CSP-OZ-DC [10] (an extension of CSP-OZ with Duration Calculus). Furthermore, in order to complete the process of slicing and model checking, a non-trivial problem still remains to be solved: How can we relate a counterexample obtained for a reduced specification to a corresponding one for the original specification?

Related work. There are two strands of research which touch upon our work. The first is on slicing of formal specifications, which has mainly been done for Z specifications [3, 22]. These works, however, do not consider verification, i.e. slicing

is not carried out with respect to temporal logic properties of the specification. The second area of related work concerns slicing used for reducing programs before verification, as for instance done in [7] for Java (preserving LTL_X properties) and in [19] for SAL programs (preserving CTL^*_X properties). Slicing for integrated specification techniques has so far not been considered.

References

1. I. Brückner and H. Wehrheim. Slicing CSP-OZ Specifications for Verification. Technical Report 7, SFB/TR 14 AVACS, <http://www.avacs.org/>, 2005.
2. I. Brückner and H. Wehrheim. Slicing Object-Z Specifications for Verification. In *ZB 2005*, volume 3455 of LNCS, pages 414–433. Springer-Verlag, 2005.
3. D. Chang and D. Richardson. Static and Dynamic Specification Slicing. In *ACM SIGSOFT ISSTA*, pages 138–153. ACM, 1994.
4. E. Clarke, O. Grumberg, and D. Peled. *Model Checking*. MIT Press, 1999.
5. C. Fischer. CSP-OZ: A Combination of Object-Z and CSP. In *FMOODS '97*, volume 2, pages 423–438. Chapman & Hall, 1997.
6. W. Grieskamp, M. Heisel, and H. Dörr. Specifying Embedded Systems with Statecharts and Z: An Agenda for Cyclic Software Components. In Egidio Astesiano, editor, *FASE '98*, volume 1382 of LNCS, pages 88–106. Springer, 1998.
7. J. Hatchliff, M. Dwyer, and H. Zheng. Slicing Software for Model Construction. *Higher-order and Symbolic Computation*, 13(4):315–353, 2000.
8. C.A.R. Hoare. *Communicating Sequential Processes*. Prentice Hall, 1985.
9. J. Hoenicke and P. Maier. Model-checking specifications integrating processes, data and time. In *FM 2005*, volume 3582 of LNCS, pages 465–480. Springer, 2005.
10. J. Hoenicke and E.-R. Olderog. CSP-OZ-DC: A Combination of Specification Techniques for Processes, Data and Time. *NJC*, 9(4):301–334, 2002.
11. ISO/IEC. *Enhancements to LOTOS (E-LOTOS) – International Standard 15437:2001*. ISO/IEC – Information technology, 2001.
12. B. Mahony and J.S. Dong. Timed communicating Object-Z. *IEE Transactions on Software Engineering*, 26(2):150–177, 2000.
13. L. Millett and T. Teitelbaum. Issues in Slicing Promela and its Applications to Model Checking. *Software Tools and Technology Transfer*, 2(4):343–349, 2000.
14. A.W. Roscoe. *The Theory and Practice of Concurrency*. Prentice Hall, 1998.
15. G. Smith. *The Object-Z Specification Language*. Kluwer Academic Publisher, 2000.
16. G. Smith and J. Derrick. Specification, Refinement and Verification of Concurrent Systems. *Formal Methods in System Design*, 18(3):249 – 284, 2001.
17. F. Tip. A Survey of Program Slicing Techniques. *Journal of Programming Languages*, 3(3):121–189, 1995.
18. H. Treharne and S.A. Schneider. Communicating B Machines. In *ZB 2002*, volume 2272 of LNCS, pages 416–435. Springer, 2002.
19. N. Shankar V. Ganesh, H. Saidi. Slicing SAL. Technical report, SRI International, <http://theory.stanford.edu/>, 1999.
20. M. Weiser. Programmers use slices when debugging. *Communications of the ACM*, 25(7):446–452, 1982.
21. J.C.P. Woodcock and A.L.C. Cavalcanti. The Semantics of Circus. In *ZB 2002*, volume 2272 of LNCS, pages 184–203. Springer-Verlag, 2002.
22. Fangjun Wu and Tong Yi. Slicing Z Specifications. *SIGPLAN*, 39(8):39–48, 2004.